Regulations on Disciplinary Actions for Nagasaki University Employees (Purpose)

Article 1 These Regulations shall, based on Article 42 of the Rules of Employment for Nagasaki University Employees (Rule No. 44 of 2004: hereinafter, "Rules") and Article 61 of the Rules of Employment for Nagasaki University Mariners (Rule No. 48 of 2004: hereinafter, "Mariners' Rules"), provide for necessary matters relating to disciplinary actions for Employees working at Nagasaki University (hereinafter, "University").

(Reason for Disciplinary Actions)

- Article 2 If an Employee falls under any of the following items, the President may, after examination by the personnel affairs committee provided for in the Nagasaki University Personnel Affairs Committee Rules (Rule No. 36 of 2004) (hereinafter, "Personnel Affairs Committee"), take disciplinary actions against said Employee:
 - (1) If the Employee is absent from work without justifiable reason and without advance notice;
 - (2) If the Employee is not diligent in his/her work as shown by the fact that he/she often comes to the office late or leaves the office early without justifiable reason;
 - (3) If the Employee causes damage to the University by willful misconduct or gross negligence;
 - (4) If the Employee commits any criminal offense including theft, embezzlement or injury;
 - (5) If the Employee seriously damages the honor or trust of the University;
 - (6) If the Employee behaves improperly, or disturbs the order or morals of the University;
 - (7) If the Employee declares his/her personal history fraudulently;
 - (8) If the Employee commits an improper act in research activities;
 - (9) If the Employee makes unauthorized use of research funds; or
 - (10) If the Employee violates the Rules, the Mariners' Rules or other regulations prescribed by the University or engages in any act similar to those of the foregoing. (Types and Details of Disciplinary Actions)

Article 3 The types and details of disciplinary actions shall be as follows and shall

apply according to the details and situation of the case:

- (1) Reprimand The University shall caution the Employee in question in regard to his/her future conduct;
- (2) Salary cut The University shall cut the Employee's salary within the scope of half a day's average wage on one occasion and of one-tenth of the total salary for the month in total;
- (3) Suspension of coming to the office The University shall suspend the Employee's coming to the office for a period of one day or more and not exceeding 20 days, shall not allow the Employee to engage in his/her duties, and shall not pay salary for the period.
- (4) Suspension of work The University shall suspend the Employee's coming to the office for a period of one month or more and not exceeding 3 months, shall not allow the Employee to engage in his/her duties, and shall not pay salary for the period.
- (5) Dismissal under Instruction The University shall recommend the Employee to submit an application for resignation, provided that if the Employee does not accept such recommendation, the University shall dismiss him/her either by setting or without setting an advance notice period.
- (6) Disciplinary dismissal The University shall dismiss the Employee without setting an advance notice period and shall not pay a retirement allowance.
- 2 The period of suspension of coming to the office set forth in item 3 of the preceding paragraph and the period of suspension of work set forth in item 4 of said paragraph shall be calculated based on calendar days, and the starting date of calculation shall be the day immediately following the day on which the disposition in question becomes effective.
- 3 In the case where the University dismisses an Employee without setting the advance notice period pursuant to the provisions of items 5 and 6 of paragraph 1, and if the approval of the director of the competent labor standards office is obtained, the University shall not pay a dismissal advance notice allowance (which refers to the average wage for not less than 30 days that the employer is required to pay, as set forth in paragraph 1 of Article 20 of the Labor Standards Act (Act No. 49 of 1947)).

(Disciplinary Actions against University Education Staff)

Article 4 If disciplinary actions are taken against University Education Staff, an examination by the Education and Research Council set forth in Article 28 of the National University Corporation Nagasaki University Basic Rules (Rule No. 1 of 2004) shall be conducted after the examination by the Personnel Affairs Committee is completed.

(Determination of Disciplinary Actions)

- Article 5 Disciplinary action to be taken shall be determined by comprehensively taking account of the matters set forth in Appended Table and in the following:
 - (1) The manner in which the Employee committed the violating act, the extent of damage, and the degree of social significance, such as judicial trends;
 - (2) The responsibility of the Employee who committed the violating act, the degree of his/her negligence, and the extent of disrepute, including any impact on his/her duties;
 - (3) The specific circumstances of the Employee who committed the violating act, including his/her usual attitude toward work and habitual nature; and
 - (4) Actions taken after the violating act.
- 2 In the case of a violating act which falls within item 10 of Article 2 and whose violation contents are not those listed in Appended Table, the disciplinary action shall be determined by referring to the corresponding actions listed in Appended Table and by comprehensively taking account of the matters listed in the items of the preceding paragraph.
- 3 In the case where a person who received disciplinary action in the past has committed a similar violating act, the degree of his/her disciplinary action shall be aggravated.

(Management and Supervisory Responsibility)

- Article 6 In the case where disciplinary action has been taken against an Employee and the supervisor of that Employee falls within any of the following items, the President shall also take disciplinary action against the supervisor:
 - (1) The supervisor failed to properly manage and supervise the Employee subject to disciplinary action; or

(2) Despite knowing the violating act of the Employee, the supervisor concealed or knowingly ignored the act concerned.

(Disciplinary Actions against Relevant Employees)

- Article 7 In the case where disciplinary action has been taken against an Employee, and another Employee falls within any of the following items, the President shall also take disciplinary action against the latter:
 - (1) The other Employee is found to have induced the violating Employee to commit the violating act in question, or to have aided and abetted the violating act; or
 - (2) Despite knowing the violating act of the Employee, the other Employee concealed or knowingly ignored the violating act concerned.

As provided for in Appended Table (Exhibit)

(Exhibit)

Appended Table Example Standards of Disciplinary Actions 1. General Service

1. General Service	T							
		Star	ndar	ds	of Dis	ciplina	ry Act	ions
Violation	Details of Violation of Service Obligation	Disciplinary	Dismissal under	Instruction	Suspension of work	Suspension of coming to the office	Salary cut	Reprimand
1. Absence from work	(1) Absent from work for not more						0	0
	than 10 days without justifiable							
	reason							
	(2) Absent from work for 11 days or				0	0	0	
	more but not more than 20 days							
	without justifiable reason							
	(3) Absent from work for 21 days or	0	0		0			
	more without justifiable reason							
2. Lateness/Leaving	Repetitively absent from work at							0
early	the beginning or end of the working							
	hours							
3. False application for	Make a false application for sick						0	0
leave	leave, special leave or other leave							
	requiring approval							
4. Improper attitude	Cause trouble in the management						0	0
toward work	of operations, for example, by							
	repetitively leaving the workplace							
	or engaging in private matters							
	during the working hours and							
	thereby neglecting one's duties, or							
	by disobeying a superior's orders in							
	the execution of one's duties							
5. Disturbance of order	(1) Disturb the order in the				0	0	0	0
in the workplace	workplace, for example, by							
	committing violence or using							
	abusive language against a							
	superior or other Employee							

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	(2) Disturb the order in or debase			0	0	0	0
	the morals of the University by						
	conducting political or religious						
	activities, or other similar						
	activities						
6. Falsification/	(1) Falsify an important part of	0	0				
Fabrication	one's personal history						
	(2) Fabricate a research paper, etc.	0	0	0	0	0	0
	(3) Fabricate facts and report false			0	0	0	0
	information						
7. Violation of	(1) Cause significant trouble in the	0	0	0	0		
confidentiality	management of operations by						
obligation	divulging confidential						
	information that one comes to						
	know in the course of his/her						
	service						
	(2) In the case of (1), divulge	0	0				
	confidential information for						
	illegal personal gain.						
	(3) Cause significant trouble in the			0	0	0	0
	management of operations						
	through leakage of occupational						
	information due to neglect by						
	disobeying specific orders or						
	disregarding warnings to take						
	information security measures.						
8. Collection of	By abusing one's authority, collect					0	0
individuals'	documents, etc. containing						
confidential	individuals' confidential matters						
information for	for exclusive use for a purpose						
unintended purposes	other than one's duties						
9. Unjust use of	Use personal information that one	0	0	0	0	0	0
personal information	comes to know in the course of one'						
	service for unjust purposes, such as						
	personal use to obtain one's own or						
	any third party's benefit						
10. Engagement in	Without permission, concurrently			0	0	0	
profit-making	serve as an officer, etc. of a						

businesses, etc.	profit-making company, etc., run a						
businesses, etc.	profit-oriented private company by						
	oneself, or engage in a business,						
	etc. for a consideration						
11. Sexual harassment	(1) Use sexual speech or behavior,				0	0	0
11. Sexual narassment	_					0	0
	such as indecent language,						
	against other Employees,						
	schoolchildren or pupils, etc.						
	(which refer to infants,						
	schoolchildren or pupils who are						
	enrolled in the University; the						
	same applies in 1 General						
	Service of the table), students,						
	etc. (which refer to students,						
	non-degree students, research						
	students, or others who learn at						
	the University; the same applies						
	hereinafter), or related parties,						
	with the knowledge that such						
	speech or behavior is against						
	their will			<u> </u>	<u> </u>	<u> </u>	
	(2) Repeatedly use sexual speech or			0	0	0	
	behavior, such as indecent						
	language, against other						
	Employees, schoolchildren or						
	pupils, etc., students, etc., or						
	related parties, with the						
	knowledge that such speech or						
	behavior is against their will			<u></u>	ļ	<u></u>	
	(3) Make the counterparty suffer	0	0	0	0		
	from a mental disorder due to the						
	accumulation of severe mental						
	stress in (1) or (2)						
12. Academic bullying	Use one's power in education or	0	0	0	0	0	0
	research to interfere with or						
	harass other Employees or						
	students, etc. in relation to						
	educational guidance or research						

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	activities, causing mental or						
	physical harm to them						
13. Abuse of authority	Use speech or behavior that is	0	0	0	0	0	0
	based on a superior relationship						
	and that is beyond the scope						
	necessary and reasonable in the						
	course of one's duties to cause						
	mental or physical harm to other						
	Employees, schoolchildren or						
	pupils, etc. or students, etc.						
14. Harassment	Use speech or behavior related to	0	0	0	0	0	0
related to pregnancy,	pregnancy, childbirth, or other						
childbirth, etc.	relevant matters to worsen the						
	working environment for other						
	Employees						
15. Harassment	Use speech or behavior related to	0	0	0	0	0	0
related to childcare	childcare leave, nursing care leave,						
leave, etc.	or other matters related to raising						
	children or caring for family						
	members to worsen the working						
	environment for other Employees						
16. Improper act in	In the case where an improper act	0	0	0	0	0	0
research activities	is reported as a result of an						
1000aron accivitios	investigation based on the						
	Regulations on Prevention of Improper Acts in Research						
	Activities at Nagasaki University						
	(Regulation No. 4 of 2009)						

2. Matters Relating to the Handling of Money, Goods, etc. Belonging to the Corporation

		Star	ndard	s of Dis	ciplina	ry Act	ions
Violation	Details of Violation of Service Obligation	Disciplinary	Dismissal under	Instruction Suspension of work	Suspension of coming to the office	Salary cut	Reprimand
1. Misappropriation	Misappropriate any money or goods belonging to the Corporation	0					
2. Stealing	Steal any money or goods belonging to the Corporation	0					
3. Obtaining by deception	Deceive another into providing any money or goods belonging to the	0					

	Corporation						
4. Loss	Lose any money or goods belonging						0
	to the Corporation						
5. Theft	Cause any money or goods						0
	belonging to the Corporation to be						
	stolen due to gross negligence						
6. Damage to the	Deliberately damage the					0	0
Corporation's	Corporation's facilities or property						
facilities, etc.	in the workplace						
7. Fire/Explosion	Cause any of the Corporation's						0
	facilities or its property to ignite or						
	explode in the workplace due to						
	negligence						
8.	(1) Deliberately make an unjust					0	0
Illegal/Inappropriat	payment of salary or other						
e payment and	amounts in violation of laws or						
receipt of salary or	regulations						
other amounts	(2) Unduly receive one's salary or					0	0
	other amounts, for example, by						
	deliberately failing to provide						
	notification, or making a false						
	notification						
9. Improper processing	Improperly process the					0	0
of the Corporation's	Corporation's money or goods that						
money or goods	one stores, which includes, for						
	example, the use of such money or						
	goods for unintended purposes						
10. Inappropriate use	Cause trouble in the Corporation's					0	0
of computers	operation by using a computer in						
	the workplace for an inappropriate						
	purpose not relating to one's duties						
11. Unauthorized use	In the case where unauthorized use	0	0	0	0	0	0
of research funds	is reported as a result of an						
	investigation based on the						
	Regulations on Investigation, etc.						
	on Unauthorized Use of						
	Institutionally Managed Expenses						
	of Nagasaki University (Regulation						

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3. Matters Relating to Stakeholders, etc.

		Star	ndard	s of	Dis	ciplina	ry Act	ions
Violation	Details of Violation of Service Obligation	Disciplinary	ınder	Instruction	Suspension of work	Suspension of coming to the office		q
1. Lease of real property	Lease real property from stakeholders without any compensation			C)	Ο		
2. Gift	Receive a gift of any money, goods or real property from stakeholders			C)	0		
3. Loan of money, etc.	Receive a loan of any money, or a loan of goods without any compensation			C)	Ο	0	0
4. Receipt of services	Receive services from stakeholders without any compensation			C)	0	0	0
5. Receipt of unlisted stock	Receive unlisted stock from stakeholders			C)	0	0	0
6. Wining and dining, business entertainment, etc.	(1) Receive wining and dining, or business entertainment from stakeholders			C)	0	0	0
	(2) Receive wining and dining, business entertainment, or property benefits from business operators, etc. not falling within the category of stakeholder, beyond the scope of generally acceptable social interaction			C)	0	0	0
7. Failure to submit reports	Fail to submit various reports, etc. set forth in the Regulations on Ethics for Nagasaki University Employees (Regulation No. 46 of 2004), or submit such reports, etc. with false details entered therein)	0	0	0

4	4. Matters Relating to Ma	sconduct	
			Standards of Disciplinary Actions

Violation	Details of Violation of Service Obligation		Disciplinary	Dismissal under	Instruction		Suspension of work	Suspension of coming to the office	Salary cut	Reprimand
1. Arson	Start a fire	0								
2. Murder	Murder a person	0								
3. Infliction of bodily harm	Inflict bodily harm on another					0		0	0	0
4. Assault/Scuffle	Assault upon or scuffle with another, although not amounting to bodily harm					0		0	0	0
5. Damage to property	Deliberately damage another's property					0		0	0	0
6. Misappropriation	Misappropriate another's property in the possession of the misappropriating Employee (excluding the Corporation's money or goods)	0		0		0		0	0	0
7. Stealing/Robbery	(1) Take another's property	0		0		0				
	(2) Force another to hand over his/her property by using violence or threatening him/her	0								
8. Fraud/Blackmail	Force another to hand over his/her property by deceiving or blackmailing him/her	0		0		0				
9. Gambling	(1) Engage in gambling (2) Habitually gamble					0		0	0	0
10. Possession, etc. of narcotics, etc.	Possess, use or transfer narcotics, cannabis, opium, stimulants, dangerous drugs, etc.	0								
11. Rude language, behavior, etc. due to intoxication	In a public place or on public transportation, use remarkably rude or disorderly words or actions that bring trouble to the public, due to being intoxicated								0	0
12. Nonconsensual indecency	Commit an indecent act by causing a person to be in a state of difficulty in forming, expressing, or fulfilling	0								

	his/her intention not to consent, or by taking advantage of his/her being in such a state						
13. Lewd act	Commit a lewd act on a person under 18 years old by providing money or other property benefits to him/her, or promising such provision to him/her, in consideration of such act.	0	0	0			
14. Molestation	Molest another			0	0	0	

5. Sexual Violence against Schoolchildren or Pupils, etc. or Students, etc.

STREET TOTOLOG AGAIN	st Schoolchildren or Pupils, etc. or St					ciplina	ry Act	ions
Violation	Details of Violation of Service Obligation	Disciplinary	Dismissal under	Instruction	Suspension of work	Suspension of coming to the office	Salary cut	Reprimand
1. Sexual violence, etc.	(1) Engage in sexual intercourse	0						
against schoolchildren	with schoolchildren or pupils,							
or pupils, etc. (which	etc. or cause them to engage in							
refer to infants,	sexual intercourse							
schoolchildren, pupils,	(2) Commit an indecent act against	0						
or persons under 18	schoolchildren or pupils, etc. or							
years old enrolled in	cause them to commit an							
schools; the same	indecent act (excluding those							
applies in 5. Sexual	listed in (1) above)							
Violence against	(3) Commit any act that falls under	0						
Schoolchildren or	any of the crimes set forth in							
Pupils, etc. or	Article 182 of the Penal Code							
Students, etc. of the	(Act No. 45 of 1907), Articles 5							
table)	to 8 of the Act on Regulation							
	and Punishment of Acts							
	Relating to Child Prostitution							
	and Child Pornography, and the							
	Protection of Children (Act No.							
	52 of 1999; hereinafter, the							
	"Child Pornography Act"), or							
	Articles 2 to 6 of the Act on							
	Punishment of Acts of							

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Photographing Sexual Postures,				
etc. and Erasure, etc. of				
Electromagnetic Records				
Pertaining to Images of Sexual				
Postures Recorded in Seized				
Property (Act No. 67 of 2023;				
hereinafter, the "Act on				
Punishment of Photographing				
Sexual Postures") (limited to				
crimes related to schoolchildren				
or pupils, etc.) (excluding those				
listed in (1) and (2) above)				
(4) Commit against schoolchildren	0			
or pupils, etc. any of the				
following acts (limited to those				
that have a harmful influence				
on the mind or body of				
schoolchildren or pupils, etc.)				
that significantly embarrass				
schoolchildren or pupils, etc. or				
make them feel uneasy, or				
cause them to commit such acts				
(excluding those listed in (1) to				
(3) above)				
(a) Touch over clothing or other				
items worn by a person or				
directly any of his/her sexual				
parts (which refer to sexual				
parts as specified in Article				
2, paragraph 3, item (iii) of				
the Child Pornography Act)				
or any other body parts of the				
person				
(b) Photograph a person's				
underwear or body that is				
normally concealed by				
clothing, or point toward the				
person or set up a camera or				
other equipment for the				

	purpose of photographing such underwear or body				
2. Sexual violence, etc.	Commit any act equivalent to those	0			
against students, etc.	listed in (1) to (4) of 1				

- * With regard to sexual violence, etc. against schoolchildren or pupils, etc., it does not matter whether or not they give their consent or whether or not they are assaulted or threatened. Acts for which criminal penalties are not imposed may also fall under the category of sexual violence, etc. against schoolchildren or pupils, etc.
- * Examples of acts falling under (1) to (4) of 1 are as follows and include acts stipulated in Article 2, paragraph 3, items (i) to (iv) of the Act on Prevention of Sexual Violence against Schoolchildren and Students by Educational Personnel (Act No. 57 of 2021).
- (1) Crime of nonconsensual sexual intercourse as set forth in Article 177 of the Penal Code, an act of having a child commit an obscene act as set forth in Article 34, paragraph 1, item (vi) of the Child Welfare Act (Act No. 164 of 1947), or sexual intercourse, etc. prohibited under a juvenile protection ordinance or other applicable law
- (2) Crime of nonconsensual indecency as set forth in Article 176 of the Penal Code, an act of having a child commit an obscene act as set forth in Article 34, paragraph 1, item (vi) of the Child Welfare Act (excluding the cases specified in (1) above), or an indecent act prohibited under a juvenile protection ordinance or other applicable law
- (3) Any of the acts listed in (a) to (c) below
 - (a) Demanding a person under 16 years old to meet (Article 182, paragraph 1 of the Penal Code), meeting such a person (paragraph 2 of the same article), or demanding such a person to provide an image showing his/her sexual posture (paragraph 3 of the same article; so-called "demanding a selfie, etc.") for the purpose of obscenity
 - (b) Intermediating child prostitution (Article 5 of the Child Pornography Act), soliciting child prostitution (Article 6 of the same Act), possessing or providing child pornography (Article 7 of the same Act), or committing trafficking in children for such purposes as child prostitution (Article 8 of the same Act)
 - (c) Photographing sexual postures, etc. of schoolchildren or pupils, etc. (Article 2 of the Act on Punishment of Photographing Sexual Postures), providing sexual image records (Article 3 of the same Act) or storing sexual image records for the purpose of committing such act (Article 4 of the same Act), or transmitting images of sexual postures, etc. (Article 5 of the same Act) or

recording them (Article 6 of the same Act)

(4) Obscene act (so-called groping) prohibited under Article 3, item (i) of the Nagasaki Prefecture Ordinance on Prevention of Troubles (Nagasaki Prefecture Ordinance No. 59 of 1963) or secret filming prohibited under the same Article (excluding the cases specified in (3) above)

6. Matters Relating to Traffic Accidents and Violation of Traffic Regulations

		Star	ıdar	ds	of I	Disc	eiplina	ry Act	ions
Violation	Details of Violation of Service Obligation	Disciplinary	Dismissal under	Instruction		Suspension of work	Suspension of coming to the office	Salary cut	Reprimand
Traffic accidents, etc. caused by driving under the	(1) Cause a traffic accident due to driving under the influence of alcohol	0	0		0		0		
influence of alcohol	(2) In the case of (1), violate the obligation to take necessary actions, such as giving aid after the accident	0							
	(3) Drive under the influence of alcohol	0	0		0		0		
	(4) The Employee who encourages a person to drink knowing this will result in his/her driving under the influence of alcohol, who rides with a driver, knowing that his/her driving is under the influence of alcohol, or who, even if the Employee does not ride with a driver under the influence of alcohol, lets such driver drive, knowing that his/her driving is under the influence of alcohol		0		0		0	0	0
2. Traffic accidents causing injury or	(1) Cause death or a serious impairment to another	0	0		0		0		
death, not due to driving under the influence of alcohol	(2) In the case of (1), violate the obligation to take necessary actions, such as giving aid after the accident	0							

3. Violation of traffic regulations	(1) Flagrantly violate traffic regulations, for example marked speeding		0	0	0	0
	(2) In the case of (1), violate the obligation to take necessary actions, such as hazard prevention, after causing a traffic accident that results in damage	 	0	0	0	
	to property					

7. Matters Relating to Supervisory Responsibility

		Standards of Disciplinary Action				ions	
Violation	Details of Violation of Service Obligation	Disciplinary	Dismissal under	Suspension of work	Suspension of coming to the office	Salary cut	Reprimand
1. Incompetence in	Lack the competence required for a					0	0
providing guidance	supervisor in providing guidance						
and supervision	and supervision, for example,						
	where an Employee under his/her						
	charge has received disciplinary						
	action						
2. Concealing or	Knowing a violating act of an			0	0	0	0
knowingly ignoring	Employee under the supervisor's						
misconduct	charge, the supervisor conceals or						
	knowingly ignores that act						

8. Disciplinary Actions on Relevant Employees

		Standards of Disciplinary Actions					
Violation	Details of Violation of Service Obligation	Disciplinary	Dismissal under	Suspension of work	Suspension of coming to the office	Salary cut	Reprimand
1. Inducement/Aiding	Found to have induced the	0	0	0	0	0	0
and abetting	violating Employee to commit the						
	violating act in question, or to have						
	aided and abetted the violating act						
2. Concealing or	Despite knowing a violating act of			0	0	0	0
knowingly ignoring	the Employee in question, conceal						
misconduct	or knowingly ignore that act						